



## GRANT SUMMARY

### NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA) & NYS INTERMUNICIPAL WATER INFRASTRUCTURE (IMG) GRANTS FOR EMERGING CONTAMINANTS IN DRINKING WATER

#### OVERVIEW

The Clean Water Infrastructure Act of 2017 (CWIA) invests \$2.5 billion in clean and drinking water infrastructure projects and water quality protection across New York. As part of CWIA, the New York State Environmental Facilities Corporation (EFC) is offering up to \$185 million in grants for drinking water projects during the 2018- 2019 state fiscal year to selected municipalities with infrastructure projects that protect public health by removing or otherwise addressing emerging contaminants such as Per and Polyfluoroalkyl Substances (PFAS) or 1,4-Dioxane.

EFC and the NYS Department of Health (DOH) will evaluate all projects for which applications have been received by the due date (5:00 p.m. on January 11, 2019) based on factors including protection of public health and the readiness of the project to proceed expeditiously.

#### AVAILABLE FUNDING

##### *WIIA Drinking Water Projects*

An applicant with an eligible drinking water project may receive a WIIA grant award as described below:

- A project, including phases of the project, is limited to the lesser of \$3 million or 60% of net eligible project costs.
- Municipalities are limited to \$3 million of combined Drinking Water State Revolving Fund (DWSRF) grant<sup>1</sup> and WIIA grants over a five-year period (October 1, 2013 to September 30, 2018).

##### *IMG Drinking Water Projects*

Cooperating municipalities with an eligible project may receive an IMG grant award as described below:

- The lesser of \$10 million or 40% of net eligible project costs.

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<sup>1</sup> DWSRF grant does not include grants through the Intermunicipal Water Infrastructure Grant Program.



- IMG grant awardee seeking SRF financing will only be eligible to apply for market-rate financing.

## ELIGIBILITY REQUIREMENTS

WIIA and IMG grants are available only to the following: county, city, town, village, district corporation, county or town improvement district, school district, Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, any public benefit corporation or public authority established pursuant to the laws of New York or any agency of the State that is empowered to construct and operate a water quality infrastructure project.

Eligible projects<sup>2</sup> include water quality infrastructure projects at municipally-owned public water systems for construction, replacement, or repair of infrastructure specifically to address emerging contaminants by:

- Providing treatment to remove emerging contaminants; or
- Extending public water system infrastructure to serve areas affected by emerging contaminants; or
- Developing or connecting to a new water source that does not contain emerging contaminants

Each WIIA project must meet the following criteria:

- Include construction services;
- Not have closed on a SRF financing OR short-term financing was closed on or after October 1, 2016 (applicants that submitted an acceptable application in previous rounds of the WIIA grant program are grandfathered); and
- Not have completed construction before October 1, 2017.

Each IMG project must meet the following criteria:

- Serve multiple municipalities;
- Is a shared drinking water project, such as shared water quality infrastructure or the interconnection of multiple municipal water systems;
- Have a valid and binding Intermunicipal Agreement (IMA) between at least two cooperating municipalities related to financing of the project;
- Designate a “lead municipality” that applies on behalf of all cooperating municipalities;
- Include construction services and not have started construction before October 1, 2017;
- Not have closed a SRF financing; and

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<sup>2</sup> “Eligible project” as defined in paragraphs (a), (b), (c), and (e) of subdivision 4 of Section 1160 of the Public Health Law and in addition, is limited to infrastructure projects that address emerging contaminants.



- May not be for the construction of water infrastructure that exclusively supports new development, whether residential or commercial.

## PROGRAM REQUIREMENTS

Program requirements for WIIA and IMG recipients include minority- and women-owned business enterprise (MWBE) participation, equal employment opportunity, service-disabled veteran-owned business (SDVOB) participation, smart growth and environmental review.

There are additional program requirements for recipients of WIIA and IMG funds that also utilize SRF financial assistance, such as American Iron and Steel and Davis-Bacon federal prevailing wage requirements.

All contracts entered into by recipients that are to be paid for with WIIA funds, IMG funds, or SRF financial assistance must demonstrate compliance with EFC's program requirements by including the required contractual language from the applicable EFC bid packet.

Additional information on program requirements is available on EFC's website at [www.efc.ny.gov](http://www.efc.ny.gov), including EFC's bid packets and the current DWSRF Intended Use Plan.

## APPLICATIONS

Municipalities who would like to be considered for a WIIA and/or IMG grant must submit a grant application and the following required documentation to EFC no later than 5:00 p.m. on **January 11, 2019**.

- Engineering Report<sup>3</sup>, including project schedule and budget, if not previously submitted
- Smart Growth Assessment Form, if not previously submitted
- Environmental Review documentation (SHPO/SEQR Review)
- Authorizing Resolution: If debt will finance any portion of the project, a bond resolution is required, otherwise a board resolution is required
- If applying for IMG, a valid and binding Intermunicipal Agreement (IMA) between at least two cooperating municipalities related to the financing of the project
- If seeking SRF financing in addition to grant funds, a complete SRF Financing Application, if not previously submitted
- Proof of District Formation, if applicable

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<sup>3</sup> The Engineering Report for projects should follow the suggested Engineering Report Outline, available at <http://www.efc.ny.gov/DWSRFApply> for drinking water projects.



Applications must be submitted to [NYSWaterGrants@efc.ny.gov](mailto:NYSWaterGrants@efc.ny.gov). Large documents accompanying the application may also be submitted through the EFC Large File Upload Submission Form at <http://goo.gl/NwTA6D>. Applications that are submitted without all required information and documentation may be deemed unacceptable and may not be processed.

## CONTACT US

Please direct all questions about the WIIA or IMG grant programs for emerging contaminants in drinking water and application to:

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