



**Department of  
Environmental Conservation**

**Environmental  
Facilities Corporation**

# **FINAL INTENDED USE PLAN**

## **Clean Water State Revolving Fund Federal Fiscal Year 2017**

Effective October 1, 2016 - September 30, 2017

### **AMENDMENT NO. 1**

Final May 2017



**ANDREW M. CUOMO**  
Governor

**BASIL SEGGOS**  
Commissioner

**SABRINA M. TY**  
President and CEO





**FINAL AMENDMENT NO. 1  
CLEAN WATER STATE REVOLVING FUND  
FFY 2017 INTENDED USE PLAN**

**ADDITION OF GREEN INNOVATION GRANT PROGRAM PROJECTS TO THE  
CLEAN WATER STATE REVOLVING FUND ANNUAL PROJECT PRIORITY LIST,  
SMART GROWTH REVIEW, MODIFICATION OF SOURCES AND USES,  
ENGINEERING PLANNING GRANT PROGRAM FUNDING, AND ADJUSTMENT OF  
FINANCING APPLICATION DATE**

**May 2017**

## Overview

The New York State Environmental Facilities Corporation (EFC) administers the Clean Water State Revolving Fund (CWSRF) on behalf of the New York State Department of Environmental Conservation (DEC). This amendment to the Federal Fiscal Year (FFY) 2017 CWSRF Intended Use Plan (IUP) addresses five topics:

1. Green Innovation Grant Program (GIGP) Round 8 projects,
2. Smart Growth review of GIGP Round 8 projects,
3. Modification of Sources and Uses,
4. Engineering Planning Grant (EPG) program funding, and
5. Adjustment of the financing application date.

### 1. GIGP Round 8 Projects

On December 8, 2016, the Governor announced the latest round of funding requested through the Consolidated Funding Application, including FFY 2016 GIGP - Round 8 projects. The list of FFY 2016 GIGP - Round 8 projects is added as Attachment 1 to this amendment. The source of the funds for the FFY 2016 GIGP - Round 8 projects is the FFY 2016 CWSRF capitalization grant and remaining funds from previous rounds of GIGP.

### 2. Smart Growth Review of GIGP Round 8 Projects

EFC reviews all applicable projects in accordance with the New York State Smart Growth Public Infrastructure Policy Act of 2010. EFC is seeking comments from the public on projects that are being added by this amendment. The list of these projects is added as Attachment 1 to this amendment.

### 3. Modification of Sources and Uses

Table B – Sources and Uses of Subsidized Financing in the FFY 2017 IUP is replaced with Attachment 2 to this amendment. Additional subsidization available from the FFY 2016 CWSRF capitalization grant will be used to fund the Integrated Solutions Construction (ISC) grant program and projects previously awarded additional subsidization from the FFY 2015 CWSRF capitalization grant. The FFY 2015 additional subsidization previously allocated to these purposes will be used to fund projects in Category D.

### 4. EPG Program Funding

EFC is increasing the amount of administrative funds available for the EPG Program from \$2 million to \$3 million. The portion of the third paragraph of Section 7.3 of the 2017 CWSRF IUP, “Use of Administrative Funds” will be amended accordingly to identify \$3 million for CWSRF Engineering Planning Grants.

In addition, the description of the EPG program in Table A – Financing Options has been revised to correct the amount of grant available, as shown below.

<b>FINANCING OPTION</b>	<b>RATE</b>	<b>AMOUNT</b>	<b>QUALIFICATIONS</b>	<b>FEES</b>	<b>NOTES</b>
<b>NYSDEC/NYSEFC ENGINEERING PLANNING GRANT PROGRAM (EPG)</b>	Grant	Grants up to \$100,000 for planning costs	Engineering reports resulting from this program must adhere to the Engineering Report Outline.	None	Utilize the Governor's CFA to apply.  Additional detail regarding the next round of EPG will be posted on DEC and EFC's websites when available.

### 5. Adjustment of the Financing Application Date

The date to submit financing applications stated in the Foreword of the FFY 2017 CWSRF IUP (March 1, 2017) is extended to coincide with the third offering of New York State Water Infrastructure Improvement Act (WIIA) grants.

## Public Review and Comment on Amendment No. 1

A public notice on the availability of Draft Amendment No. 1, including a request for comments, was published in the Environmental Notice Bulletin on April 12, 2017. EFC accepted comments on Amendment No. 1 to the 2017 CWSRF IUP until close of business April 28, 2017. No comments were received.

For additional information regarding the CWSRF program, please visit the EFC website at [www.efc.ny.gov](http://www.efc.ny.gov) or call EFC at 518-402-7396.



Attachment 1

2017 FINAL CWSRF INTENDED USE PLAN

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Green Innovation Grant Program - Round 8 Awardees

**FINAL AMENDMENT 1 - 2017 CWSRF INTENDED USE PLAN  
PROPOSED GREEN INNOVATION GRANT PROGRAM RECIPIENTS - ROUND 8**

Project Number	Applicant Name	Service Area	Description	Cat	Estimated Amount	Additional Subsidization	Green Project Reserve	SPDES No
C4-9249-02-00	ALBANY WATER BOARD	TIVOLI LAKE PRESERVE	NPS [GIGP8 - HYDROMOD - STREAM DAYLIGHTING]	G	\$1,100,000	\$1,100,000	\$1,100,000	NO SPDES
C7-6331-03-00	BROOME COUNTY	FLOYD L. MAINES VETERANS MEMORIAL ARENA IN DOWNTOWN BINGHAMTON	NPS [GIGP8 - GI SW RAIN WATER HARVESTING SYSTEM AND REUSE]	G	\$1,750,000	\$1,750,000	\$1,750,000	NO SPDES
C9-6621-03-00	JAMESTOWN, CITY OF	TRACY PLAZA	NPS [GIGP8 - GI SW RAIN WATER HARVESTING SYSTEM AND REUSE, GREEN ROOF]	G	\$1,480,000	\$1,480,000	\$1,480,000	NO SPDES
C3-5374-07-00	KINGSTON, CITY OF	BROADWAY BETWEEN ST. JAMES STREET AND GRAND STREET	NPS [GIGP8 - GI SW BIOSWALE]	G	\$1,400,000	\$1,400,000	\$1,400,000	NO SPDES
C6-9170-05-00	NEW YORK STATE OFFICE OF PARKS, RECREATION AND HIS	SOUTHWICK BEACH STATE PARK	NPS [GIGP8 - GI SW RETROFIT POROUS PAVEMENT AND BIOSWALE]	G	\$1,380,000	\$1,380,000	\$1,380,000	NO SPDES
C8-6445-04-00	ROCHESTER, CITY OF	ROCHESTER MUSEUM & SCIENCE CENTER	NPS [GIGP8 - GI SW RETROFIT POROUS PAVEMENT, BIORETENTION AND RAIN GARDENS]	G	\$1,300,000	\$1,300,000	\$1,300,000	NO SPDES
C6-6037-16-00	ROME, CITY OF	3 CITY BLOCKS FROM NORTH GEORGE STREET TO KOSSUTH STREET	NPS [GIGP8 - GI SW RETROFIT POROUS PAVEMENT AND STREET TREES]	G	\$640,000	\$640,000	\$640,000	NO SPDES
C1-9252-01-00	SMITHTOWN, TOWN OF	MEADOW RD	NPS [GIGP8 - GI SW BIOSWALE]	G	\$150,000	\$150,000	\$150,000	NO SPDES
C7-6292-07-00	SULLIVAN, TOWN OF	BRIDGEPORT GATEWAY AREA ROUTE 31 CORRIDOR	NPS [GIGP8 - GI SW BIOSWALE AND RAIN GARDEN]	G	\$800,000	\$800,000	\$800,000	NO SPDES
					<b>\$10,000,000</b>	<b>\$10,000,000</b>	<b>\$10,000,000</b>	



Attachment 2

2017 FINAL CWSRF INTENDED USE PLAN

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Sources and Uses of Subsidized Financing

Attachment 2

2017 FINAL CWSRF INTENDED USE PLAN

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Table B – Sources and Uses of Subsidized Financing

**Sources and Uses of Subsidized Financing**

Estimated Sources of Funds for Federal Fiscal Year 2017

Remaining resources on October 1, 2016	\$283,048,415
Capitalization Grant from EPA	100,000,000
State Match for Capitalization Grant	20,000,000
Additional Subsidization from FFY 2016 Capitalization Grant	14,747,900
Repayments 10/1/16 – 9/30/17	512,637,658
Investment Returns	183,435,243
<b>Total</b>	<b>\$1,113,869,216</b>

Proposed Uses of Funds During Federal Fiscal Year 2017

Category A, B & C (Funds for Long-Term Leveraged or Short-Term Financings)	\$130,952,971
Category D (Long-Term Direct Financings - Hardship)	347,079,492
Category D (Long-Term Direct Financings - Hardship) - 2017 Additional Subsidization	10,000,000
Proj in Cat. A & B with Acceptable Applications in 2016	99,991,534
Category G - Green Innovation Grant Program (GIGP) Round 8 (FFY 2016)	2,862,158
Category G - Integrated Solutions Construction (ISC) Grant (FFY 2016)	8,000,000
Subtotal - Amount for Projects	<b>\$598,886,155</b>
Bond Debt Service	480,187,062
Investment Future Deposits	25,000,000
Program Administration	9,796,000
Subtotal	<b>\$514,983,062</b>
<b>Total</b>	<b>\$1,113,869,216</b>